

## Identification Guidelines

### Identity verification documents

We are required to comply with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act)*. The AML/CTF Act requires us to, amongst other things, verify the identity of investors applying to invest in the Fund.

We cannot accept an application to invest in the Fund until we are satisfied that the identity of the investors has been verified in accordance with the AML/CTF Act. The processing of applications may be delayed until the requested information is received in a satisfactory form and the identity of the investor is verified. In some circumstances, we may be required to re-verify your identity after your Units have been issued in order to comply with the AML/CTF Act.

All investors are required to provide valid identity verification documentation when they invest. The actual documentation required will depend on whether you are an individual investor or a non-individual investor such as a superannuation fund, a trust or a company. We have outlined the specific documentation required in the Application Form.

If any documentation you provide is not in English, it must be accompanied by an original copy of an English translation prepared by an accredited translator.

If we do not receive all the required valid customer identity verification documents with your application form or we are unable to verify your identity, we may not be able to process your application for investment in the Fund or any future withdrawal requests until we receive the required documentation. We will contact you as soon as possible if we require more information.

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## Who can certify

Identity verification documents may be certified as a true and correct copy of an original document by one of the following persons in Australia.

- Justice of the Peace
- Police officer
- Officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- Finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993)
- Officer with, or authorised representative of, a holder of an AFSL, having two or more continuous years of service with one or more licensees
- Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership, i.e. an accountant
- Judge of a court
- Magistrate
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described), i.e. an Australian lawyer
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- Permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
- Chief executive officer of a Commonwealth court
- Registrar or deputy registrar of a court
- Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- Notary public (for the purposes of the Statutory Declaration Regulations 1993).

**The person certifying must state their capacity (from the list above), state on the front page that the document is a 'true and correct copy of the original', sign that statement and initial each page of the document.**